

Not for distribution or citation

1 **Guidance Document on Considerations for Waiving or Bridging of**
2 **Mammalian Acute Toxicity Tests (*Draft Jan 12/16*)**

3
4 **Disclaimer/Foreword**

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6 The Globally Harmonized System of Classification and Labelling of Chemicals (GHS,
7 2013) has been cited throughout this document for context on classification and labelling
8 but national authorities may have their own classification and labelling frameworks
9 against which the waiver criteria can be applied. Elements of the GHS have been
10 included in Appendix 1 for ease of reference.

11 It is recognized that some approaches in this document under which a waiver may be
12 justified (and classification and/or labelling proposed) are based on considerations not
13 expressly addressed under the GHS. However, a basic tenet of the GHS is to give
14 consideration to the totality of existing information and to use expert judgement in
15 making a determination of the appropriate classification and labelling. Regulatory
16 jurisdictions using the GHS for classification and labelling are strongly encouraged to
17 give consideration to the approaches outlined in this document that extend beyond those
18 specified under the GHS.

19 **INTRODUCTION**

- 20
21 1. The OECD Guidelines for the Testing of Chemicals are continually evolving to
22 reflect changing assessment practices. Acute toxicity tests are an area of focus for
23 developing alternative assays to address animal welfare concerns. In the context of
24 this document, acute toxicity studies refer to studies involving a single exposure
25 (i.e. a single exposure or multiple exposures within 24 hours) to a test chemical
26 and include those assessing systemic toxicity as well as those assessing local
27 irritation, corrosion or sensitization. One approach to minimizing the use of
28 animals for acute toxicity testing is to consider waiving a study that may be
29 required based on scientific criteria. These criteria include, but are not limited to,
30 the consideration of physico-chemical properties of the test chemical or the
31 potential for little or no exposure to that chemical by a specific route. Another
32 approach to reducing or eliminating animal testing is to use existing hazard
33 information for one compound to characterize the hazard for another (often
34 referred to as bridging or read-across). Clarification of these two approaches is
35 important to ensure that regulatory authorities are provided with the appropriate
36 data required for decision-making and that reduced animal testing can be
37 undertaken without compromising the integrity of the hazard information.

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2. The origin of this document is guidance developed by the United States and Canada (U.S EPA 2012, Health Canada 2013) for pesticides. While this document is applicable to chemical pesticides, the principles articulated herein could be extended to the assessment of other chemicals, formulations and biological materials on a case-by-case basis. The objective of this document is to provide guidance and criteria not only to those who are responsible for generating acute toxicity data, but also to those who are reviewing the data for classification and labelling purposes. This document may also have some value in other regulatory areas such as risk assessment, transport and storage. Certain legislations (e.g., the REACH Regulation, EC No. 1907/2006) include the waivers addressed in this guidance document and provide some further possibilities for waivers or adaptations from the information requirements (ECHA, 2015). At the same time, other regulatory frameworks, such as those for the global transport sector, are focussed on intrinsic hazard with minimal consideration of how a product is used. Given that legislation and regulatory frameworks differ among OECD member countries, it is incumbent upon national regulatory authorities to determine if this guidance document (or any part of it) has relevance to their programs. Likewise, stakeholders need to be aware of country-specific requirements.
3. The criteria outlined in this document are specific to acute toxicity testing (acute toxicity via the oral, dermal and inhalation route, eye and skin irritation and skin sensitization) and are not intended to be applicable to other areas of toxicity testing.
4. While every effort has been made to make this guidance document as comprehensive and up to date as possible, it is expected that there will also be cases where requests for waivers or bridging will fall outside the scope of this document and will require separate review and/or consultation with regulatory authorities (e.g., products containing particles in the nanoscale). Expert judgement is paramount in considering any waiver request and should take into account the context of all the available information. The scientific rationale for any expert judgement should be explicitly stated.
5. For the purpose of this document, test chemical refers to active substance or end-use product (see specific guidance for end-use products later in the document). When extending the criteria to non-pesticides, active substance can be taken to be synonymous with a single substance or component and end-use product can be taken to be synonymous with a mixture of substances or components.

WAIVER CRITERIA

6. Generally, waivers are considered when there is little or no significant human exposure by a given route of exposure or when it is technically not possible to perform a study for a certain endpoint, such as not requiring an acute oral toxicity study when the test chemical exists as a vapour or gas. Waivers are also possible

84 taking into account animal welfare considerations, such as when the test chemical
85 is corrosive. Specific waiver criteria for each type of acute toxicity study are
86 discussed below. Requests for a waiver of any acute toxicity data requirement or
87 justification for bridging should be prepared in accordance with regulatory
88 authority formatting requirements and should include a valid scientific rationale
89 and documentation to support the request. All waiver requests should be
90 considered on a case-by-case basis following a weight-of-evidence approach. The
91 burden of proof lies entirely with the party requesting the waiver.
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- 93 7. Waivers justified on the basis of use and exposure conditions may be particularly
94 applicable for pesticides and biocides but less so for test chemicals under the
95 purview of hazard-based chemical legislation; for the latter, exposure-based
96 waiving of testing may be less applicable. When exposure-based waivers are
97 proposed, sufficient documentation is required to identify all potential exposure
98 scenarios.
99
- 100 8. When a waiver is granted for an acute toxicity study, this should be identified
101 when presenting the hazard profile for the test chemical in order to acknowledge
102 that there is not a data gap for this study. Labelling language for acute hazards of
103 active substances or end-use products should be reflective of the basis of the
104 granted waiver. For example, the lack of acute inhalation hazard for a non-
105 inhalable test chemical would be reflected through no requirement for label
106 language regarding acute inhalation hazard. By contrast, if an acute dermal toxicity
107 waiver is granted on the basis of the test chemical being corrosive, the label would
108 need to reflect the potential for corrosivity of the test chemical by the dermal route.
109 Where appropriate, labelling language for end-use products, for which acute
110 studies have been waived, can be based on the inherent toxicological profiles of
111 their single components.
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- 113 9. As an overarching criterion, in vivo animal studies should be waived where the
114 results of validated in vitro tests or alternative approaches (such as read-across and
115 (Q)SARs) are adequate to draw a conclusion regarding the classification of an
116 acute hazard for a test chemical.
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118 **ACUTE ORAL TOXICITY**

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- 120 10. An acute oral toxicity study may not be required if testing is not technically
121 feasible or relevant such as when the test chemical is a gas or vapour at ambient
122 temperature.
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- 124 11. Waivers will be considered for end-use products that are composed of non-friable
125 material and are too large to be ingested; or where end-use product design prevents
126 oral exposure. End-use products such as pet collars, plastic ear tags and tamper
127 resistant roach traps and bait boxes often meet these criteria. Even though some
128 end-use products may be too large to be ingested, there is still some concern for
129 exposure (e.g. a child mouthing an end-use product or hand-to mouth contact

130 following breakage). In this case, labelling should reflect the hazard potential of
131 the active substance or other components of the end-use product.

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- 133 12. An acute oral toxicity study may be waived if the test chemical is corrosive to skin
134 (GHS Category 1). The determination of corrosion is based on in vivo, validated
135 and/or accepted in vitro or other data, or in the absence of any other information,
136 when a test chemical has a pH less than or equal to 2 or greater than or equal to
137 11.5 (OECD, 2014b). As the GHS corrosion hazard statements only pertain to the
138 skin, hazard statements that correspond to GHS Category 1 for acute toxicity via
139 the oral route should be used for labelling; where appropriate, it can be stated that
140 acute oral toxicity is assumed based on the corrosive properties of the test
141 chemical.
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- 143 13. A waiver will be considered if the oral LD₅₀ of the test chemical is predicted to be
144 greater than 2000 mg/kg bw (GHS Category 5 and the threshold for labelling)
145 based on the results of a validated and/or accepted alternative test or test battery
146 provided the test system was shown to have high sensitivity and the applicability
147 domain is inclusive of the chemistry under investigation. Current in vitro
148 cytotoxicity tests are generally insufficient as stand-alone methods due to their
149 limited predictive ability for test chemicals that require metabolic activation or for
150 test chemicals that affect specific cell types. Consideration of the results from a
151 repeat-dose oral toxicity study may assist with a prediction of acute oral toxicity;
152 test chemicals with a NOAEL of 1000 mg/kg bw/day or greater have been
153 generally shown to have an acute oral LD₅₀ above 2000 mg/kg bw (ECHA, 2015).

154 **ACUTE DERMAL TOXICITY**

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- 157 14. A dermal toxicity study may be waived if the test chemical is corrosive or severely
158 irritating to skin (GHS Category 1). The determination of corrosion is based on in
159 vivo, validated and/or accepted in vitro or other data, or in the absence of any other
160 information, when the test chemical has a pH less than or equal to 2 or greater than
161 or equal to 11.5 (OECD, 2014b).
- 162
- 163 15. Waivers will be considered for end-use products for which the product design
164 prevents dermal exposure. Products such as roach traps and bait boxes that are
165 tamper-resistant to children often meet these criteria. In these cases, exposure is
166 likely limited to situations where breakage occurs. Labelling should reflect the
167 dermal hazard of the active substance or other components of the end-use product.
- 168
- 169 16. A dermal toxicity study may be waived if the test chemical has shown no toxicity
170 in an acute oral toxicity test up to 2000 mg/kg bw (Category 5 hazard under the
171 GHS). Reviews comparing the classification of oral and dermal hazards indicate
172 that it is rare for the dermal test to yield a more severe classification (Thomas and
173 Dewhurst, 2007; Creton et al., 2010; Seidle et al., 2011, Moore et al., 2013). Under
174 this premise, dermal toxicity of test chemical meeting this criterion should not
175 result in a more severe classification than the corresponding oral hazard and would

Comment [CC1]: Supporting analysis conducted by A.Lowit/ICCVAM and J.Mehta – add citations if/when they become available

176 be classified as a Category 5 dermal hazard in those jurisdictions that require this
177 classification.

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179 17. Under the same premise articulated above (i.e., dermal toxicity is unlikely to result
180 in a more severe classification than the corresponding oral hazard), a waiver may
181 be considered if the oral LD₅₀ of the test chemical is less than 300 mg/kg bw. Test
182 chemicals meeting this criterion would be classified in the corresponding GHS
183 category as the oral hazard (i.e., a Category 2 oral hazard would be classified as a
184 Category 2 dermal hazard, a Category 3 oral hazard would be classified as a
185 Category 3 dermal hazard etc.) As there is no difference between the symbol and
186 signal word for labelling Category 1, 2 or 3 oral or dermal hazards, there is
187 generally no need to conduct further animal testing to refine the classification.
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- 189 18. A waiver may be considered where the oral LD₅₀ range is between 300-2000
190 mg/kg bw and dermal penetration data indicates low dermal absorption (<10%)
191 relative to oral absorption. In this case, the oral LD₅₀ would equate to a dermal-
192 equivalent value of 3000 mg/kg bw (oral value of 300 mg/kg bw ÷ 10% dermal
193 absorption) or greater and test chemicals meeting this criteria would be classified
194 as a Category 5 dermal hazard according to the GHS. Care must be taken with this
195 approach to ensure that dermal absorption values have been appropriately
196 determined taking into account the effects of dermal loading. Furthermore, this
197 approach assumes high oral bioavailability; re-consideration of this approach may
198 be necessary if available information indicates low oral bioavailability of the test
199 chemical.
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201 **ACUTE INHALATION TOXICITY**

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203 19. An acute inhalation toxicity study may not be required for a test chemical if it
204 demonstrates low volatility, is not aerosolized (i.e., generated as a mist, fog,
205 spray, dust, smoke or fume), heated, evaporated, or otherwise made inhalable as a
206 gas or vapour under conditions of use, storage, handling, or transport. Low-
207 volatility products are defined as having vapor pressures <1 x 10⁻⁵ kPa (7.5 x 10⁻⁵
208 mmHg) for indoor uses, and <1 x 10⁻⁴ kPa (7.5 x 10⁻⁴ mmHg) for outdoor uses at
209 20-30° C (Whalan et al., 1998). Examples of test chemicals with low volatility
210 include, but are not limited to, viscous liquids, waxes, resins, lotions, and caulks.
211 A waiver request should report the vapor pressure for the test chemical and
212 provide evidence that there is no substantial off-gassing. Where the waiver
213 involves an end-use product with low volatility, labelling should reflect the
214 inhalation hazard of the active substance or other components of the end-use
215 product. A waiver may not be appropriate for a test chemical that is expected to be
216 highly toxic via the inhalation route (based on available information) unless its
217 volatility is extremely low.
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- 219 20. Waivers for acute inhalation studies may be considered for test chemicals that are
220 too large to be inhaled (e.g., granules) and do not readily crumble into inhalable
221 particles. Inhalable liquid and solid particles are capable of entering the human

222 respiratory tract via the nose and/or mouth, and are generally defined as being
223 smaller than 100 µm in diameter. Particles larger than 100 µm are less likely to be
224 inhalable. Of those particles that are inhalable, the respirable fraction pose a
225 particular hazard because they are small enough to reach the alveoli, the major site
226 of absorption in the respiratory tract, as well as the tracheobronchial region..
227 Respirable particles are generally defined as being smaller than 10 µm in diameter
228 for humans and approximately 1 µm for rodents (Vincent, 2005). It is important to
229 note that an inhaled test chemical need not be respirable to pose a hazard. Many
230 particles are readily absorbed in the nasal mucosa (e.g. cocaine) and/or can be
231 ingested when particles deposited in the upper respiratory tract are carried by
232 mucociliary transport to the hypopharynx and then swallowed. Significant oral
233 ingestion can also occur when animals are exposed in whole-body chambers due to
234 the licking of particles deposited on the fur during grooming. For these reasons, a
235 waiver may not be appropriate for test chemicals that are highly toxic by the oral
236 route.

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238 21. An aerosol for an end-use product or application method may be considered
239 essentially non-inhalable provided >99% of the particles by mass are >100 µm in
240 diameter at the point where humans are exposed (Whalan et al., 1998). Waiver
241 requests based on particle size should be accompanied by particle size distribution
242 measurements performed in accordance with a standardized test method that
243 provides reliable results.

244
245 22. Solid aerosol particles can be generated as dusts, fumes, smoke, and granules.
246 When performing an inhalation toxicity study of a solid material, the test chemical
247 may need to be crushed in a ball mill to achieve a respirable particle size (a mass
248 median aerodynamic diameter (MMAD) of ≤2 µm with a geometric standard
249 deviation (σ_g) of 3, OECD Guidance Document 39, 2009). Requests for waivers
250 on the basis of solid particle size should include evidence that the test chemical
251 consists of large, non-inhalable particles that are resistant to attrition. This can be
252 accomplished by using the latest version of the American Society of Testing
253 Materials (ASTM) Test Method E728-91-Standard Test Method for Resistance to
254 Attrition of Granular Carriers and Granular Pesticides (<http://www.astm.org/>).
255 Solid materials that are dissolved or suspended in liquid under conditions of use
256 may need to be tested in this alternate physical state if it can result in human
257 exposure.

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259 23. Liquid aerosols can be generated as mists and fogs by spraying, nebulization, and
260 by the pouring of liquids. For pesticides, waiver rationales based on the use of
261 medium or coarse spray nozzles that result in large droplets (100 – 500 µm
262 diameter) are generally insufficient as it has been shown that within seconds of
263 leaving a nozzle, large droplets of an aqueous mix can rapidly shrink to a size that
264 is inhalable and often respirable (Matthews, 2008). Consideration should be made
265 for the likelihood that liquid particles may shrink due to evaporation and therefore
266 may become inhalable. Waivers will not be granted for liquid aerosols on the basis

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267 of large particle size unless it can be demonstrated that large droplets do not shrink
268 to an inhalable size (i.e., < 100 µm).

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24. A waiver for an acute inhalation toxicity study may be considered if a test chemical cannot be generated as a gas, vapour, or aerosol in sufficient concentration to elicit animal toxicity in the optimal conditions of an inhalation chamber. Although extraordinary measures are not required, the waiver request should include a clear description of the methods and equipment used in attempting to generate an inhalable concentration of the product. An example of a waiver candidate under this criterion is pesticidal paint (e.g., antifouling paint) that may clog the airways of animals and that may be impractical to generate as a respirable aerosol in an inhalation chamber. In this case, labelling should reflect the inhalation hazard of the active substance or other components of the end-use product.
25. There are several toxicokinetic reasons why the inhalation route is the most toxic route for many chemicals: a) the lungs have a huge alveolar surface area where chemicals are rapidly transported across the thin (0.5 µm) alveolar membrane into the blood stream; b) all orally administered chemicals make a first pass through the liver (via hepatic portal circulation) where most are detoxified, but inhaled chemicals immediately enter the blood stream, bypassing the metabolic protection of the liver; c) stomach acid converts many ingested chemicals into less toxic moieties; there is no analogous process in the lungs; and d) many chemicals can reach the brain within a few seconds of being inhaled into the lungs; intravenous injection is the only route that provides faster systemic exposure. Because of these significant toxicokinetic differences, a waiver for an acute inhalation toxicity study may be considered for test chemicals that are classified as Category 1 or 2 for acute oral or dermal toxicity according to the GHS. Under these conditions, a test chemical would be classified as a Category 1 inhalation hazard according to the GHS. As there is no difference between the symbol and signal word for labelling Category 1 and 2 inhalation hazards, there is generally no need to conduct further animal testing to refine the classification.
26. The OECD inhalation test guidelines and Guidance Document 39 require the testing of corrosive chemicals at targeted concentrations that are low enough to not cause marked pain and distress, yet sufficient to extend the concentration-response curve to levels that reach the regulatory and scientific objectives of the test. This can be accomplished by using a dilution of the test chemical, preferably using water as the diluent. Particular attention should be paid to portal-of-entry effects. Experience has shown that chemicals that are corrosive to the eyes and skin are not always corrosive to the respiratory tract and often demonstrate low inhalation toxicity. Rodents exposed at test chemical concentrations that cause sensory irritation of the upper or lower respiratory tract may experience reflex bradypnea or a Paintal (C-fiber stimulation) reflex, respectively. These protective reflexes can result in marked decreases in body temperature, minute volume and test chemical exposure; and thus toxicity may be significantly less than if the animals were

Comment [CC2]: Reference UK-led OECD project work on fixed dose procedure when available.

313 breathing normally. Further information on these reflexes can be found in OECD
314 Guidance Document 39. In addition to the appropriate acute inhalation
315 classification and labelling indicated for a diluted preparation of a corrosive test
316 chemical, consideration should be given to retaining a corrosion hazard statement
317 such as “corrosive” or “corrosive to the respiratory tract” for the undiluted test
318 chemical.

319 **SKIN CORROSION/IRRITATION**

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- 322 27. In vivo animal studies should be waived where the results of validated and/or
323 accepted in vitro tests are adequate to draw a conclusion on the appropriate
324 classification and labelling of the test chemical. Moreover, consideration should be
325 given to the totality of existing information in making an overall weight of
326 evidence determination.
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- 328 28. A skin corrosion/irritation study may not be required if the test chemical is
329 corrosive to skin. The determination of corrosion is based on in vivo, validated
330 and/or accepted in vitro or other data, or in the absence of any other information,
331 when a test chemical has a pH less than or equal to 2 or greater than or equal to
332 11.5 (OECD, 2014b). Such test chemicals will be considered as Category 1
333 dermal corrosives under the GHS for labelling purposes. It cannot be ruled out that
334 some test chemicals may be over-predicted based solely on pH considerations.
335 Accordingly, testing with in vitro methods can be performed as an alternate
336 approach for test chemicals with strong acidity or alkalinity. Where sub-
337 categorization is required by a regulatory sector, further information may be
338 necessary.
339
- 340 29. A skin corrosion/irritation study may not be required if the test chemical is
341 spontaneously flammable in air or water at room temperature. No classification for
342 skin corrosion or irritation is required.
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- 344 30. A skin corrosion/ irritation study may be waived where the test chemical has been
345 classified as a Category 1 or 2 acute dermal hazard under the GHS (i.e., dermal
346 toxicity ≤ 200 mg/kg bw). Observations of skin corrosion or irritation in the acute
347 toxicity studies can be used to inform whether the test chemical would be
348 considered as a Category 1 dermal corrosive or Category 2 dermal irritant under
349 the GHS for labelling purposes. Alternatively, in vitro tests for skin irritation or
350 skin corrosion could be performed. Where sub-categorization is required by a
351 regulatory sector, further information may be necessary.
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- 353 31. Waiving may be possible when it is technically not possible to turn the test
354 chemical into an accessible form for a skin corrosion/irritation test. Where relevant
355 and technically possible, in vitro testing could be considered. For end-use products
356 meeting this criterion, the skin corrosion/irritation potential can be considered
357 from the corrosion/irritation potential of the active substance or other components
358 of the end-use product.

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32. Waivers may be considered for end-use products containing strong dyes or pigments that may complicate interpretation of skin corrosion/irritation data. In such situations, a screening study should be conducted in an appropriate test species in order to determine the degree of adherence and/or dermal staining. All observations made during this screening study should be included in the waiver request. For end-use products meeting this criterion, the skin corrosion/irritation potential can be considered from the corrosion/irritation potential of the active substance or other components of the end-use product. Alternatively, it can be informed by validated and/or accepted in vitro methods such as those using reconstructed human epidermis and HPLC/UPLC spectrophotometry to address color interference (OECD, 2013, OECD, 2014a). These latter methods can be used to identify GHS Category 1 skin corrosives, Category 2 skin irritants, and non-classified chemicals (OECD 2014b), but may pose problems in classifying mild irritants (GHS Category 3) or sub-categories of Category 1 skin corrosives.

SERIOUS EYE DAMAGE/EYE IRRITATION

33. In vivo animal studies should be waived where the results of validated and/or accepted in vitro tests are adequate to draw a conclusion on the appropriate classification and labelling of the test chemical. Moreover, consideration should be given to the totality of existing information in making a weight of evidence determination.
34. A study assessing serious eye damage or eye irritation may not be required if the test chemical is corrosive to skin (GHS Category 1). The determination of corrosion is based on in vivo, validated and/or accepted in vitro or other data, or in the absence of any other information, when a test chemical has a pH less than 2 or greater than 11.5 (OECD, 2012). In this case, the test chemical should be considered in GHS Category 1 for serious eye damage. Where sub-categorization is required by a regulatory sector, further information may be necessary.
35. A study assessing serious eye damage or eye irritation may not be required if the test chemical is spontaneously flammable in air at room temperature. No classification for serious eye damage or eye irritation is required.
36. A study assessing serious eye damage or eye irritation may be waived where the test chemical has been classified as a Category 1 or 2 acute dermal hazard under the GHS (i.e., dermal toxicity ≤ 200 mg/kg bw). Such test chemicals will be considered in GHS Category 1 for serious eye damage for the labelling purposes. Alternatively, in vitro tests for serious eye damage or eye irritation could be performed.
37. Waiving may be possible when it is technically not possible to turn the test chemical into a suitable form for a test for serious eye damage or eye irritation. Prior to considering a waiver based on the inability to turn the test chemical into a

405 suitable form for testing, consideration should be given as to whether the test
406 chemical can be more appropriately tested in an in vitro system. For end-use
407 products meeting this criterion, the potential for serious eye damage or eye
408 irritation can be considered from the serious eye damage or irritation potential of
409 the active substance or other components of the end-use product.

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411 38. Waivers may be appropriate for test chemicals composed of granules or pellets
412 that are very large (unable to be retained in the eye) or non-friable (as
413 demonstrated by an attrition study), if the material retains its physical form under
414 application conditions (i.e., it is not dispersed in water prior to application). Size
415 range of the granules which compose the product should be documented and
416 submitted as part of the request.

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418 39. Full consideration of the conditions of use is necessary prior to determining the
419 applicability of a waiver and the resulting labelling. For instance, while treated
420 fabric may not come into direct contact with eyes, the possibility exists that sweaty
421 hands could transfer residues from treated clothing to the eyes. In this case, a study
422 for serious eye damage or eye irritation may be waived for the treated fabric but
423 the fabric would require labelling based on the serious eye damage or eye irritation
424 potential of the active substance or other components of the end-use product.

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DERMAL SENSITIZATION

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428 40. A dermal sensitization study may not be required on an end-use product if it is
429 corrosive to the skin at the most dilute use concentration recommended on the
430 product label. The determination of corrosion is based on in vivo, validated and/or
431 accepted in vitro or other data, or in the absence of any other information, when a
432 test chemical has a pH less than 2 or greater than 11.5. For chemicals that may be
433 used in an end-use product, information on their sensitizing potential may be
434 needed.

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436 41. A dermal sensitization study may not be required if the test chemical is
437 spontaneously flammable in air at room temperature. No classification for dermal
438 sensitization is required.

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440 42. Waiving may be possible when it is technically not possible to turn the test
441 chemical into an accessible form for a dermal sensitization test. For end-use
442 products meeting this criterion, the dermal sensitization potential can be
443 considered from the sensitization potential of the active substance or other
444 components of the end-use product.

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446 43. In general, waivers will not be considered for end-use products with dyes and
447 pigments on the basis that these components will interfere with interpretation of
448 results in guinea pig sensitization models. Alternate methods, such as the local
449 lymph node assay or validated and/or accepted in vitro assays, should be pursued
450 that are not compromised by the presence of dyes or pigments.

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44. In vivo animal studies should be waived where the results of a recognized combination of validated and/or accepted in vitro tests (e.g., OECD Test Guideline 442D, 2015a) or in chemico tests (e.g., OECD Test Guidelines 442C, 2015b) covering the key mechanistic events as described in the adverse outcome pathway for skin sensitization are adequate to draw a conclusion on the appropriate classification and risk assessment of the test chemical. Where potency considerations are required by a regulatory jurisdiction, it would be necessary for alternative in vitro assays to address such considerations.
 45. A dermal sensitization study may not be required for an end-use product if any of the components of that product are known sensitizers based on test data. Such end-use products should be classified as a Category 1 skin sensitizer. However, some regulatory frameworks may make this classification dependent on the concentration of the component(s) of concern in the end-use product.
 46. Waivers may be considered for a dermal sensitization study on an end-use product if that product contains only components that are non-sensitizers and there is low likelihood for interaction between the components. Data demonstrating the lack of sensitization potential of the components would need to be made available to support such a waiver. In this case, the end-use product would be labelled as a non-sensitizer.
 47. If in vivo testing is required by a regulatory jurisdiction, a preferred method would be one that optimally reflects the 3R considerations, such as the Local Lymph Node Assay.

END-USE PRODUCTS

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48. Testing on an end-use product may not need to be conducted if there are valid data available on each of the components in the product sufficient to allow classification of the product according to recognized calculation approaches, and synergistic effects among any of the components are not expected. Data demonstrating the toxic potential of the components would need to be made available to support such a waiver. Guidance on generating an acute toxicity estimate can be found under GHS (Chapter 3.1.3 Classification Criteria for Mixtures).

GRANULAR END-USE PRODUCTS

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49. For the purposes of this guidance, granular end-use products are limited to those products composed of a high percentage (generally greater than 90%) of granular inert carrier(s) (corn cobs, clay, limestone, sand, food) and a minimal amount of sticker/binder (generally 5% or less of the formulation). Rodenticide baits are excluded from the data waiver/bridging approach outlined below since experience

- 496 has shown that rodenticide baits are often more toxic than would be predicted
497 using the bridging method.
498
- 499 50. Acute toxicity studies (acute oral, dermal or inhalation toxicity studies) can be
500 waived for granular end-use products that comply with the description above. If
501 the acute toxicity profile of the active substance(s) and other components of the
502 end-use product (excluding the granular inert carrier) are classified as Category 4
503 or 5 hazards under the GHS, the end-use product may be classified as a Category 5
504 hazard. This extrapolation for acute systemic toxicity is based on the principle of
505 dilution. The assumption is that the inert carrier does not contribute to the toxicity,
506 and thus acts as a diluent.
507
- 508 51. If the acute toxicity profile of the active substance(s) and other components of the
509 end-use product are classified as GHS Category 1 through 3, calculations that
510 bridge downward from these categories (i.e., lower the hazard classification) will
511 be considered if there are valid data available on the components (including the
512 granular inert carrier) to generate an acute toxicity estimate. If data are not
513 available, bridging downward will generally not be considered and hazard
514 labelling would have to reflect that of the active substance and components of the
515 end-use product.
516
- 517 52. Irritation studies (skin and eye) can be waived for the granular end use-products
518 described above. Labelling for irritation potential for the end-use product would
519 need to conform to irritation labelling used for the active substance or reflect the
520 known irritation of components contained in the end-use product.
521
- 522 53. If a granular end-use product contains any component that is a known sensitizer,
523 the product generally would be labelled as a sensitizer. If the components in the
524 product are all known to be negative for dermal sensitization, a dermal
525 sensitization study may be waived and the product will not be considered a dermal
526 sensitizer.
527

528 **BRIDGING OF DATA FOR ACUTE TOXICITY**

529

- 530 54. Bridging (or read-across) refers to the use of an existing data set to characterize
531 the hazard for another chemical for which there are little or no existing data. Test
532 chemicals of unknown hazard may be similar in composition and form to one or
533 more other chemicals with an existing complete acute toxicity data base. In these
534 situations, it may be possible to construct a complete or partial acute toxicity
535 profile for the test chemical of unknown hazard depending on the applicability of
536 available data. Each specific hazard characterization eliminates the need to
537 conduct the acute toxicity study associated with that hazard. The underlying logic
538 for each determination is, in most cases, based on expert scientific judgment.
539 Further guidance on read-across methodology is available (OECD, 2014c)
540

- 541 55. For end-use products, determining the similarity of products involves a
542 comparison of the product chemistry and product formulation data (including the
543 percentage of active substance(s) as well as other components). Examples of
544 dissimilar products from a toxicological perspective include (but are not limited
545 to): changes in the identity of the non-active components; significant changes in
546 the percentage of active substance; new formulation type; and, significant changes
547 in the proportion of non-active components.
548
- 549 56. Where a test chemical is considered to be toxicologically comparable to another
550 test chemical with valid acute data, the classification and hazard labelling should
551 be identical for the two test chemicals.
552
- 553 57. Bridging acute toxicity study results from an end-use product containing a lower
554 concentration of an active substance to a product containing a higher concentration
555 of the active substance is generally not recommended, as the classification of
556 toxicity could be underestimated. End-use products containing a higher
557 concentration of active substance may be used to support products containing a
558 lower concentration of active substance; however, hazard labelling would reflect
559 that of the product with the high concentration.
560

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Field Code Changed

642 **APPENDIX 1**

643 Table 1. GHS Criteria for Acute Toxicity via the Oral, Dermal and Inhalation Route.

644

GHS CATEGORY	SYMBOL	SIGNAL WORD	HAZARD STATEMENT	ORAL LD ₅₀ (mg/kg bw)	DERMAL LD ₅₀ (mg/kg bw)	INHALATION LC ₅₀ (mg/L or ppm) ¹
1	Skull and Crossbones	Danger	Fatal (select: if swallowed, in contact with skin or if inhaled)	≤ 5	≤ 50	≤ 0.05 mg/L (dust, mist) ≤ 0.5 mg/L (vapour) ≤ 100 ppm (gas)
2	Skull and Crossbones	Danger	Fatal (select: if swallowed, in contact with skin or if inhaled)	5 < 50	50 < 200	0.05 < 0.5 mg/L (dust, mist) 0.5 < 2.0 mg/L (vapour) 100 < 500 ppm (gas)
3	Skull and Crossbones	Danger	Toxic (select: if swallowed, in contact with skin or if inhaled)	50 < 300	200 < 1000	0.5 < 1.0 mg/L (dust, mist) 2.0 < 10.0 mg/L (vapour) 500 < 2500 ppm (gas)
4	Exclamation Mark	Warning	Harmful (select: if swallowed, in contact with skin or if inhaled)	300 < 2000	1000 < 2000	1.0 < 5.0 mg/L (dust, mist) 10.0 < 20.0 mg/L (vapour) 2500 < 5000 ppm (gas)
5	None	None	None	2000 ≤ 5000	2000 ≤ 5000	≥ 5.0 mg/L (dust, mist) ≥ 20.0 mg/L (vapour) ≥ 5000 ppm (gas) AND: any mortality at Cat 4, indication of human effects, significant signs at Cat 4, indication from other studies
Unclassified	None	None		> 5000	> 5000	None of the above

645 ¹Based in a 4-hour exposure period.

646

647

648 Table 2. GHS Criteria for Corrosion, Irritation and Sensitization.

649

650

GHS CATEGORY	SYMBOL	SIGNAL WORD	HAZARD STATEMENT	CRITERIA
SKIN CORROSION/IRRITATION				
1	Corrosion	Danger	Causes severe skin burns and eye damage	pH ≤ 2.0 or pH ≥ 11.5 OR in vitro skin corrosion test positive results OR Corrosive* in ≥ 1/3 (or 2/6) animals
2	Exclamation Mark	Warning	Causes skin irritation	in vitro skin irritation test positive results OR MS** in ≥ 2/3 (or 4/6) animals of: ≥ 2.3 to ≤ 4.0 for erythema/eschar or edema (if delayed effect: calculate MS from 3 consecutive days after onset of reaction); OR inflammation persisting to 14 days in ≥ 2 animals; OR extreme variability of response
3	None	Warning	Causes mild skin irritation	MS** in ≥ 2/3 (or 4/6) animals of ≥ 1.5 to < 2.3 for erythema/eschar or edema (if delayed effect: calculate MS from 3 consecutive days after onset of reaction)
Unclassified	None	None	None	None of the above
EYE DAMAGE AND IRRITATION				
1	Corrosion	Danger	Causes serious eye damage	pH < 2.0 or pH > 11.5 OR in vitro eye damage test positive results OR ≥ 1 animal with effects remaining at 21 days; AND/OR MS* in ≥ 2/3 (or 4/6) animals of: ≥ 3 corneal opacity; AND/OR ≥ 1.5 iritis
2A	Exclamation Mark	Warning	Causes serious eye irritation	in vitro eye irritation test positive results OR classification as Category 2 skin irritant OR Effects which fully reverse in 21 days AND : MS* in ≥ 2/3 (or 4/6) animals of: ≥ 1 corneal opacity; AND/OR ≥ 1 iritis; AND/OR ≥ 2 conjunctival redness; AND/OR ≥ 2 chemosis
2B	None	Warning	Causes eye irritation	Effects which fully reverse in 7 days AND : MS* in ≥ 2/3 (or 4/6) animals of: ≥ 1 for corneal opacity; AND/OR ≥ 1 for iritis; AND/OR ≥ 2 for conjunctival redness; AND/OR ≥ 2 for chemosis
Unclassified	None	None	None	None of the above
SKIN SENSITIZATION				
1 (1A and 1B)	Exclamation Mark	Warning	May cause allergic skin reaction	Positive results from animal test AND/OR human evidence 1A: High frequency of occurrence in humans and/or a high potency in animals; severity of reaction may be considered 1B: Low to moderate frequency of occurrence in humans and/or a high potency in animals; severity of reaction may be considered
Unclassified	None	None	None	Negative animal test results

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652

653

* Corrosive = destruction of skin tissue (visible necrosis, ulcers, bleeding, bloody scabs and at 14 days, discolouration due to blanching of the skin)

**MS = Mean Score (of 24, 48 and 72 hours).